Development of Chemical, Physical, & Toxicity Criteria for DoD Acquisition Programs





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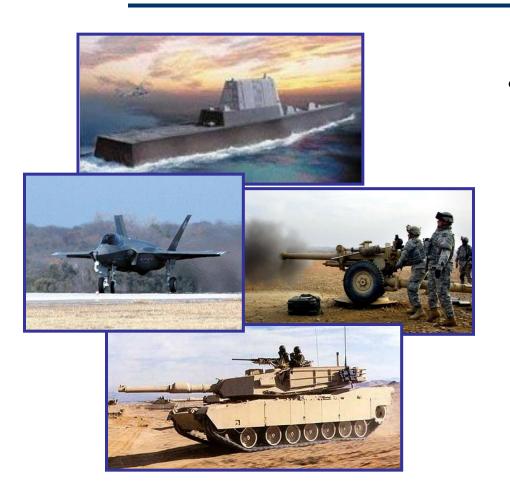
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What's the Challenge?

Acquisition, Technology and Logistics



- Increased performance & reliability of new weapon systems require new materials and chemicals.
- DoD lacks detailed guidance to determine what data are needed & when to asses & manage life-cycle risks

DoD Acquisition Policies

Acquisition, Technology and Logistics

DoDD 5000.1 – The Defense Acquisition System (May 12, 2003)

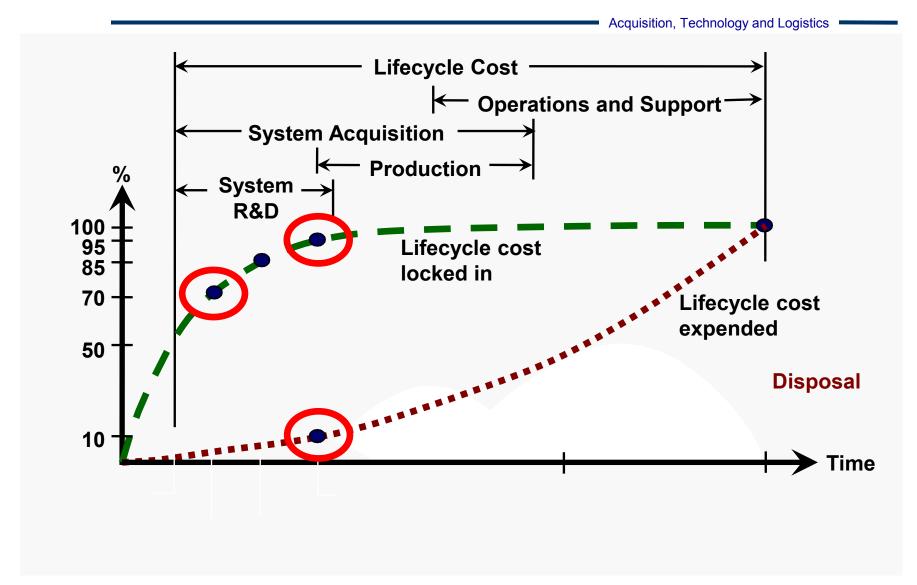
 "Safety shall be addressed throughout the acquisition process. Safety considerations include human (includes human/system interfaces), toxic/hazardous materials and substances, ..."

DoDI 5000.2 – Operation of the Defense Acquisition System (May 12, 2003)

- Programmatic Environmental and Occupational Health Evaluation (PESHE) is required.....
- As part of risk reduction, the PM shall <u>prevent ESOH hazards where</u> possible, and manage ESOH hazards where they cannot be avoided. ...
- During system design, the PM shall <u>document hazardous materials used</u> in the system and plan for the system's demilitarization and disposal.

But...<u>what</u> *specifically* do we need to know to assess & mange risks... and <u>when</u> do we need to know it?

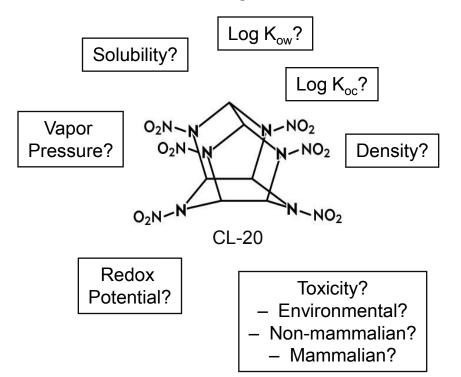
Percent Cost Locked-In By Design Phase



What's the Solution?

Acquisition, Technology and Logistics

- Benchmark existing guidance, policy, and practices.
- Develop standard set of physical, chemical, and toxicological data needs – select needs for specific programs.
- Identify critical points in the DoD acquisition process requiring specific data.
- Develop guidance for DoD acquisition community



Benchmarking Results

- Examined public & private practices world-wide, including DoD
- Each had limitations
 - limited to one group or category of chemicals
 - not designed to evaluate lifecycle risks
 - don't easily fit military acquisition process
- Selected best features from each



Standard Set of Data Needs

Acquisition, Technology and Logistics

- Detailed data needs for chemicals/materials with consistent display format
- Data needs vary based on application and predicted exposures
 - 92 possible elements
- Data used to better identify, assess, & mitigate risks

			Mammalian '	Toxicity/Carcino	genicity			
	Environmental and Non-Mammalian Toxicity							
	Environmental Fate and Transport Characteristics							
	Physical-Chemical Information							
Gene	ral Chemica	al, Production	n, and Use In	formation				
Item #	Evaluation Item	Utility/ Information Provided	Chemical Lifecycle Stage when Data Desirable	Caveats	More Information	Note s		
1.01	Chemical name	- identity - communication	Conception		OECD, 2007b	А		<u> </u>
1.02	Molecular formula & weight, computational	- chemical identification - exposure characterization	Conception	polymers frequently reported as number- average weight	ASTM, 2008 ^a Johnson et al.,2007 ^a USEPA, 1997 ^b OECD, 2007b ^a			
1.03								

Proposed Data Needs

Acquisition, Technology and Logistics

Elements (13) of the general chemical, production and use information

- Chemical identification information
- Molecular and physical state information
- Production volume
- Production methods
- Uses
- Disposal methods

Elements (19) of physical-chemical information

- Melting and boiling point
- Vapor pressure
- Oxidation-reduction potential
- Explosive properties

Proposed Data Needs

Acquisition, Technology and Logistics

Elements (30) of environmental fate and transport characteristics

- Solubility
- Partitioning among environmental media
- Degradation/stability
- Breakdown product identification

Elements (28) of environmental and non-mammalian toxicity

- Toxicity to aquatic organisms
- Toxicity to terrestrial organisms
- Biotransformation and kinetics in species for which long-term tests are conducted

Proposed Data Needs

Acquisition, Technology and Logistics

Elements (29) mammalian toxicity/carcinogenicity

- Toxicity to mammalian test species
- Toxicokinetics, metabolism and distribution in test species
- Mode of action for toxic effects
- Extrapolation of dose-response curve to humans

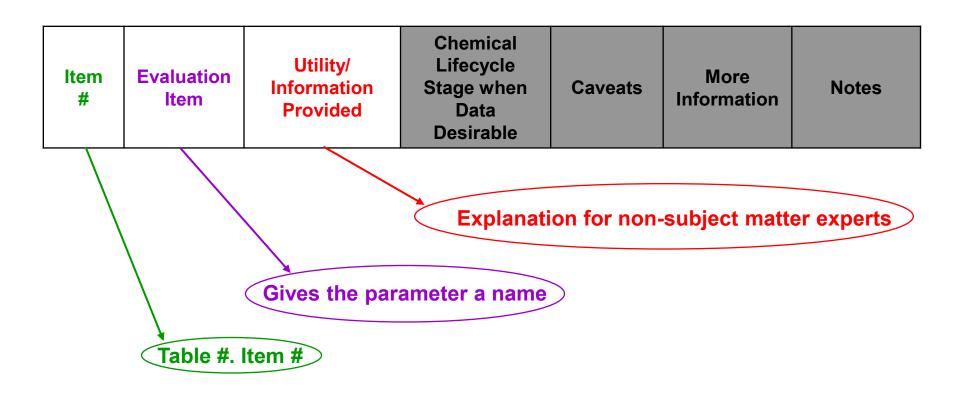
Acquisition, Technology and Logistics

Organization of the Information Tables

Item #	Evaluation Item	Utility/ Information Provided	Chemical Lifecycle Stage when Data Desirable	Caveats	More Information	Notes
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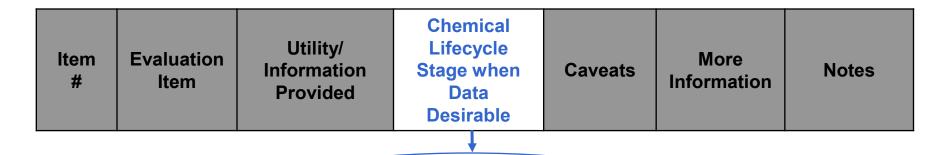
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Organization of the Information Tables (cont.)



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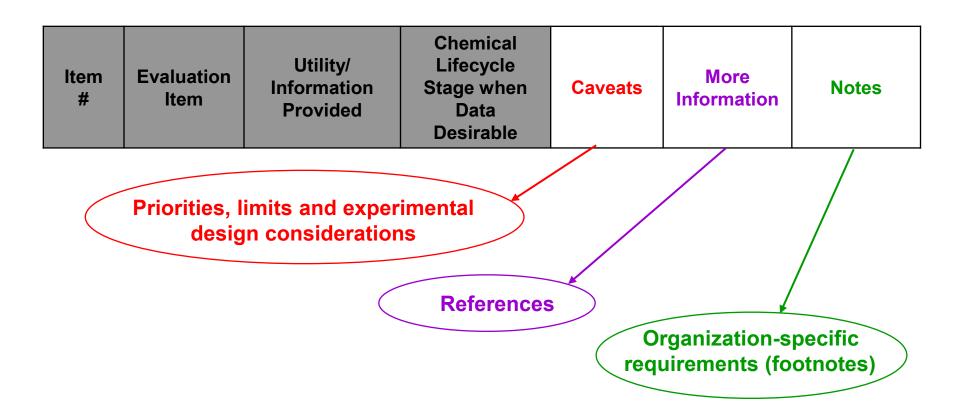
Organization of the Information Tables (cont.)



- Conception: Molecular relationships and characteristics are evaluated to gain an understanding of the chemicals properties
- Synthesis: Production of chemical or material is demonstrated and perhaps optimized
- > Testing: Chemical or material tried in the specific application or system configuration for which it was developed
- Production: Specific formulations established and mass production is planned

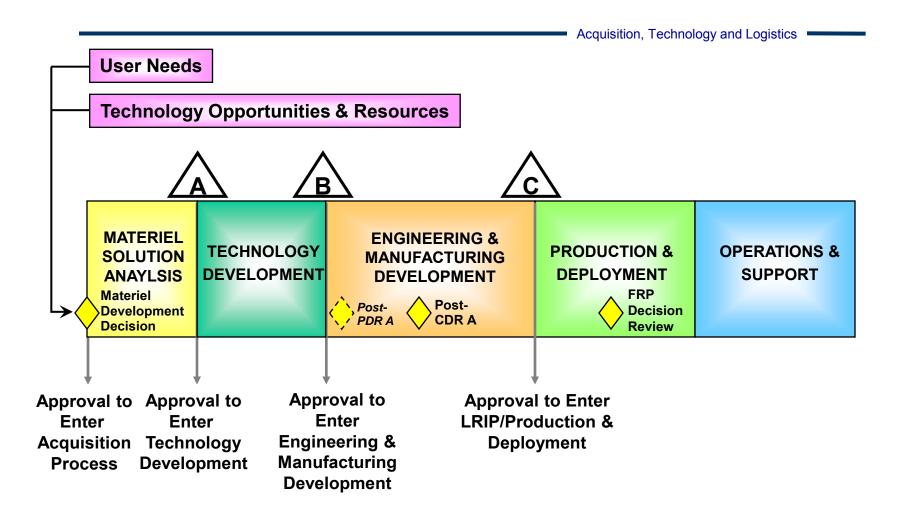
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Organization of the Information Tables (conc.)



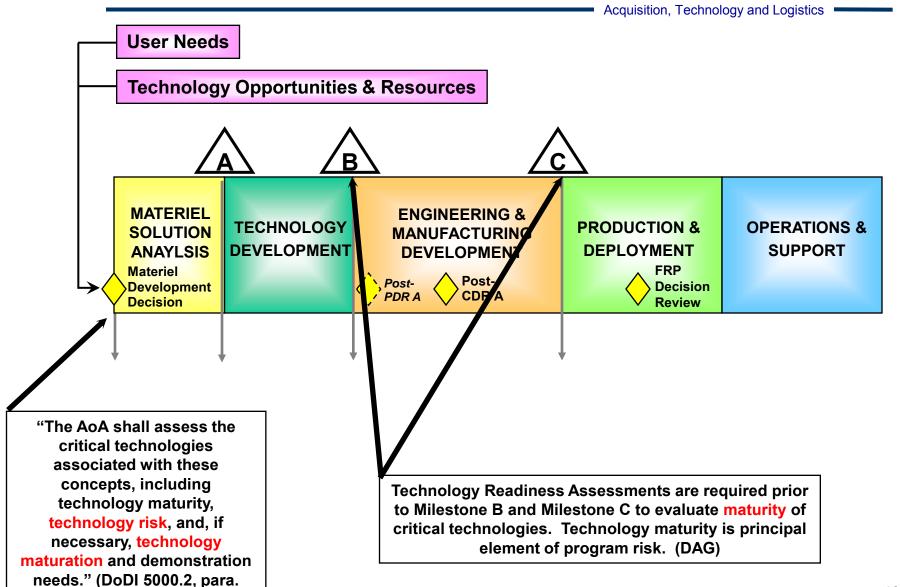
How do we incorporate this into the DoD acquisition process??

Match to DoD Acquisition Decision Points



- Materiel Development Decision precedes entry into any phase of the acquisition process
- PDR = Preliminary Design Review CDR = Critical Design Review
- FRP = Full Rate Production

DoD Acquisition Decision Points



3.5.3)

Technology Readiness Levels (TRLs)

Acquisition, Technology and Logistics

TRL 3 TRL 4 TRL 5 TRL 6 TRL 7 TRL 9 TRL 8 TRL 2 TRL 1 Analytical and Component Component System/ Actual system System Actual system experimental and/or Technology and/or subsystem Basic prototype proven completed and critical breadboard concept and/or breadboard model or through principles demonstration qualified function validation in application validation in prototype observed successful in an through test and/or laboratory formulated relevant demonstration and operational mission and characteristic environment environment in a relevant reported environment operations demonstration proof of environment concept **Best Practices** MS B: > TRL 6 MS C: > TRL 8 MATERIEL **ENGINEERING & TECHNOLOGY PRODUCTION & OPERATIONS &** SOLUTION MANUFACTURING DEVELOPMENT DEPLOYMENT SUPPORT **ANAYLSIS** DEVELOPMENT **FRP** Materiel Development Decision Decision Review

Tie TRLs to ESOH Data Needs

Acquisition, Technology and Logistics **Mammalian Toxicity/Carcinogenicity Environmental and Non-Mammalian Toxicity Environmental Fate and Transport Characteristics Physical-Chemical Information General Chemical, Production, and Use Information** Chemical Utility/ **Evaluation** Lifecycle Stage Note Item More Information Caveats when Data Information Item **Provided Desirable** Chemical - identity OECD, 2007b Α 1.01 Conception name - communication 1.02 Molecular - chemical Conception polymers frequently ASTM, 2008a formula & reported as number-Johnson et al., 2007a identification USEPA, 1997b weight, - exposure average weight computational characterization OECD, 2007ba 1.03

TRL 1

Basic principles observed and reported

TRL 2
Technology
concept and/or
application
formulated

Analytical and experimental critical function and/or characteristic proof of concept

TRL 3

TRL 4
Component
and/or
breadboard
validation in
laboratory
environment

TRL 5
Component
and/or
breadboard
validation in
relevant
environment

TRL 6
System/
subsystem
model or
prototype
demonstration
in a relevant
environment

TRL 7
System
prototype
demonstration
in an
operational
environment

TRL 8

Actual system completed and qualified through test and demonstration

TRL 9

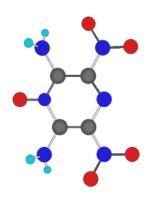
Actual system proven through successful mission operations

Next Steps

Acquisition, Technology and Logistics

- Develop users manual for developers and program managers
 - What information/data about a chemical/material should be in hand at various acquisition stages (or TRLs)?
 - Requirement or guidance?
- Incorporate requirement or guidance under acquisition series policies and procedures
- Develop training module
 - Defense Acquisition University
 - Self-directed learning

Questions & Discussion



Backup Slides

DoD Acquisition Hazard Evaluation Requirements

Acquisition, Technology and Logistics

MIL-STD-882D – DoD Standard Practice for System Safety

- Defines Hazard as "Any real or potential condition that can cause injury, illness, or death to personnel; ...; or damage to the environment."
- Defines Safety as "Freedom from those conditions that can cause death, injury, occupational illness, ... or damage to the environment."

Defense Acquisition Guidebook (DAG): Provides minimum requirements for the PESHE

- Requires identification of hazardous materials used in the system and approach for incorporating hazardous material considerations into system demilitarization and disposal planning.
- Hazardous materials risk information should include:
 - The locations and quantities of HAZMAT on the system, where applicable;
 - Energetic qualification information for each energetic material used in the system;
 - Reasonably anticipated hazardous byproducts/discharges and expected quantities of hazardous waste generated during normal use/maintenance, in addition to those anticipated in emergency situations (e.g., exhaust, fibers from composite materials released during accidents, etc.); and
 - Special HAZMAT training and handling

DoD Acquisition Hazard Evaluation Requirements

Acquisition, Technology and Logistics

Conception:
molecular
relationships and
characteristics are
evaluated to gain an
understanding of the
chemicals properties

Synthesis: production of chemical or material is demonstrated and perhaps optimized.

Testing: chemical or material tried in the specific application or system configuration for which it was developed.

Production: specific formulations established and mass production is planned.

TRL 1

Basic
principles
observed
and
reported

TRL 2
Technology
concept and/or
application
formulated

Analytical and experimental critical function and/or characteristic proof of concept

TRL 3

Component and/or breadboard validation in laboratory environment

TRL 4

TRL 5
Component and/or breadboard validation in relevant environment

System/ subsystem model or prototype demonstration in a relevant environment

TRL 6

TRL 7
System
prototype
demonstration
in an
operational
environment

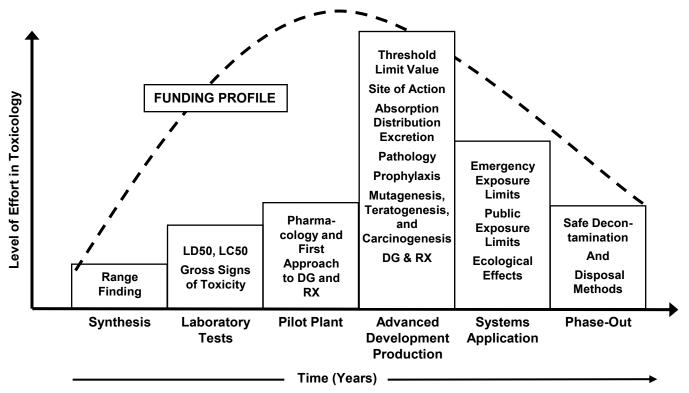
Technology Readiness Levels (DAG, 10.5.2)

Pioneering DoD Work

Acquisition, Technology and Logistics

The Impact on DoD of the Toxic Substances Control Act (AF-AMRL*, 1980)

Phasing of Toxicology with Chemical Development

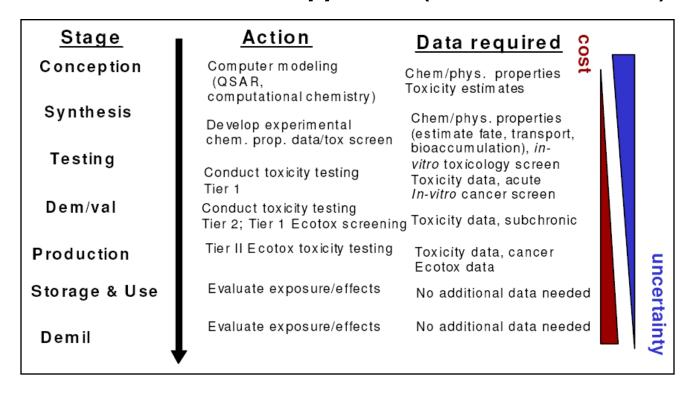


^{*} Air Force Aerospace Medical Research Laboratory

Pioneering DoD Work

Acquisition, Technology and Logistics

Assessing the Potential Environmental Consequences of a New Energetic Material: A Phased Approach (USA-CHPPM 2007)



General hierarchical approach to the development of environmental data (Johnson et al., 2007)